PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: G03H 1/02, B44C 1/24, B44F 1/10, B44B 5/00

(11) International Publication Number:

WO 99/31557

(43) International Publication Date:

24 June 1999 (24.06.99)

(21) International Application Number:

PCT/US98/25899

A1

(22) International Filing Date:

7 December 1998 (07.12.98)

(30) Priority Data:

08/991,101

12 December 1997 (12.12.97) US

(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US

Filed on

08/991,101 (CON) 12 December 1997 (12.12.97)

(71) Applicant (for all designated States except US): ALUMINUM COMPANY OF AMERICA [US/US]; Alcoa Technical Center, 100 Technical Drive, Alcoa Center, PA 15069-0001

(72) Inventors: and

(75) Inventors/Applicants (for US only): SCHAEFER, Mark, W. [US/US]; Alcoa, NP 224, 2300 North Wright Road, Alcoa, TN 37701 (US). LEVENDUSKY, Thomas, L. [US/US]; 100 Technical Drive, Alcoa Center, PA 15069 (US). SHEU, Simon [US/US]; 100 Technical Drive, Alcoa Center, PA 15069 (US). LARSEN, Robert, B. [US/US]; 1011 Ross Drive, Maryville, TN 37801 (US). WHITTLE, Neville, C.

[US/US]; 100 Technical Drive, Alcoa Center, PA 15069 (US).

(74) Agents: HANDELMAN, Joseph, H.; Ladas & Parry, 26 West 61st Street, New York, NY 10023 (US) et al.

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

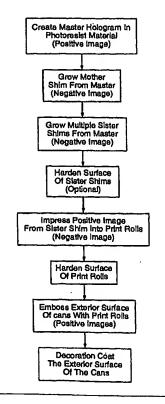
With international search report.

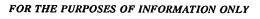
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: TECHNIQUES FOR TRANSFERRING HOLOGRAMS INTO METAL SURFACES

(57) Abstract

A method for impressing holographic images (16) or holograms in the surface of metal objects such as aluminum cans. The surfaces of metal shims (12) and print rolls (10) bearing holograms are hardened as by coating them with thin amorphous diamond coatings or diamond like coatings so the holograms can be embossed into many thousands of metal cans with clarity and consistency.





Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM .	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinca	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Vict Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		



10

15

20

25

30

WO 99/31557 PCT/US98/25899

TECHNIQUES FOR TRANSFERRING HOLOGRAMS INTO METAL SURFACES

INTO METAL SURFACES

This invention relates to pressing or transferring holograms and/or diffraction images into metallic surfaces such as metal cans.

It is well known to manufacture a variety of products having holograms or diffraction images pressed or embossed into them. Such products include credit cards, license plates, consumer packaging, pictures, tickets and the like. See, for example, U.S. Patents 4,368,979: 4,684,795; 4,856,857; 4,971,646; 4,999,075; and 5,267,753. Holograms generally mean a diffraction pattern which produces a three-dimensional image. Other diffraction images modify the behavior of light that strikes an object bearing the image without creating a three-dimensional appearance. The term "hologram" is used herein in the broad sense to include diffraction patterns or images as well as three-dimensional holograms and other complex images having different planes of diffraction. The manufacture of holograms, shims or plates is well known in the art as disclosed in U.S. Patents 3,733,258; 3,875,026; 3,944,420; and 5,059,499, among others.

Most holograms on credit cards and the like are embossed into a plastic sheet material such as Mylar® or other polyester which has a thin underlayer of reflective material such as aluminum to produce a reflective hologram. The aluminum is typically vapor deposited onto the substrate. Holograms can also be impressed into thermoplastic layers on durable substrates as disclosed in U.S. Patent 4,913,858. The coated sheet is heated to soften the coating which is decorated using an embossing member to provide a diffraction pattern or hologram.

It is further known to create embossing tools or roller dies bearing holographic patterns which are impressed into aluminum foil, translucent plastics and other materials, as is disclosed in U.S. Patent 5,521,030. That patent discloses a process in which the holographic pattern is etched directly into a durable surface on the tool or die. The patent states that large quantities of holograms can be made from a single die made of high quality steel, chrome, or the like that is used in the roller die. The patent further states that the die may be a flat plate mounted on a substrate, an inside surface of an injection mold, a mandrel, a casting mold, or other surface used for transferring a relief pattern.

10

15

20

25

30

- 2 -

It is also known to emboss holograms directly into the outer surface of sheet metal such as aluminum foil and aluminum beverage cans as is disclosed in U.S. Patents 4,773,718 and 4,725,111. Those patents explain that the temperature of the aluminum is important for optimizing the embossing process and that the nickel master plate must be many times less deformable at the embossing temperature than is the material being embossed. The patents describe a process in which the aluminum foil and aluminum cans are heated to reduce the yield strength of the metal. The yield strength of the nickel master is relatively constant over the temperature range in which the yield strength of the aluminum in the foil and cans is dramatically reduced. The patents disclose embossing apparatus having a metal embossing plate carried on the outside surface of a wheel which is pressed against a can mounted on a mandrel. The embossing plate is heated by a heater that may be disposed within the wheel. The machine has a plurality of mandrels for cans which are sequentially embossed. The mandrels are preferably cooled. The process disclosed by these patents has not been used commercially despite the desirability of enhancing the decoration of cans.

An improved low cost technique is desired for embossing holograms into metal cans and other objects. Many billions of aluminum beer and beverage cans are made and sold annually, and improved decoration of such cans would improve their marketability. Aluminum cans are made from hard temper aluminum alloys, and the sidewalls of the cans are quite thin such as in the range of about 0.0038 to 0.0042 inch. The cans are typically filled with carbonated beverages which produce pressures of 90-110 psi or more within the cans. The cans are commonly closed with a lid that is secured on the cans by a double seamer that applies a top load of about 100 to 130 pounds on the can during the seaming operation. It is important that the temper and strength of aluminum cans not be unduly reduced during processing as can happen if the cans are heated.

A technique for embossing holograms on cans is needed which adds little to the cost of the cans and which produces consistently high quality images. It is desirable for a manufacturing system to be capable of embossing holograms on approximately 2.5 million cans per day in order to be commercially practicable. Bottlers demand high quality cans and will not accept noticeable variations in the

10

15

20

25

30

decoration on their cans. Moreover, the commercial can manufacturing and filling processes operate at high speeds of 600 to 2000 cans per minute, and can decoration processes must be statistically in control and capable at such speeds.

The present invention meets the above-described needs by providing a process for producing a master die that can be used to manufacture many thousands of high quality decorated aluminum cans or other metal products at high speeds. The cost of decorating aluminum cans or other metal products by this invention is nominal and is economical for mass marketing of the products.

This invention uses a positive nickel shim having a holographic pattern in it, which is produced by conventional methods, to manufacture multiple master dies for decorating metallic products. The master die may be in the form of a print roll or flexible sheet metal into which the holographic pattern is transferred. The master die is made of metal having a suitable hardness relative to the nickel shim in order to make a high quality transfer of the image from the shim to the master die. It is preferred that the nickel shim must have a hardness which is at least about 2.5 times the hardness of the material at the surface of the master dies.

Following transfer of the pattern from the shim to the master die or dies, the surface of the dies are hardened as for example by putting an amorphous diamond coating (ADC) or a diamond like coating (DLC) on them. The coating is very thin and of uniform thickness to minimize possible adverse effect on the clarity of the holographic pattern, while being thick enough to provide the requisite hardness for embossing many thousands of cans.

It is an object of this invention to provide a method for embossing holograms into the exterior surface of metal objects such as cans, can ends, metal sheet or the like.

Another object of this invention is to provide a method for economically embossing holograms having uniformity and clarity into many thousands of metal cans or can bodies with the same dies.

A further object is to provide a method and apparatus for decorating cans with holograms and decorative coatings at high speeds for mass production of aluminum cans without adversely affecting the mechanical properties of the cans.

Another object is to provide improved hologram-decorated cans.

15

20

25

WO 99/31557 PCT/US98/25899

- 4 -

Yet another object is to provide a method for producing print rolls having holographic images on them which are suitable for embossing hundreds of thousands of metal articles such as steel or aluminum cans or can ends.

The above and other objects and advantages of this invention will be more fully understood and appreciated with reference to the attached drawings and the following description of the invention.

Figure 1 is a flow diagram showing the steps employed to emboss aluminum cans with holograms in accordance with this invention.

Figure 2 is a cross-sectional view showing transfer of a hologram

image from a shim to a print roll to be used to emboss cans in accordance with this invention.

Figure 3 is a cross-sectional view showing embossing of a hologram image from a print roll into the surface of an aluminum can.

Figure 4 is a schematic view showing a decorator apparatus having a plurality of mandrels on it for embossing holograms into can surfaces and applying decorative coatings to the embossed cans.

Figure 5 is a hologram-decorated can that has been embossed in accordance with this invention.

Figure 6 is a flow diagram showing the steps in an alternative mode for embossing cans with holograms.

According to the present invention, metal cans or other objects have holographic images or holograms embossed in their surfaces by print rolls having the negative of the images impressed in their roll surfaces. Metal cans that are embossed in accordance with this invention are preferably made of hard temper aluminum alloys such as 3004 H-19 aluminum. The cans are typically made by drawing a cup and ironing the sidewall of the cup to thin and lengthen the sidewall and make a D&I can body as is well known in the art. The D&I cans produced by such processing usually have a thin wall portion extending for substantially the entire length of the can body except for the top inch or so which is a thick wall portion. In order to be used in commercial packaging, the yield strength of the D&I cans must not be significantly reduced during decoration as can result from heating since the cans must be capable of withstanding the handling and processing involved

10

15

20

25

30

PCT/US98/25899

- 5 -

in filling and closing the cans and to withstand the internal pressures generated by carbonated beverages, beer and other products packaged in the cans.

Cans which are embossed in accordance with this invention preferably have high specularity or brightness in order to produce the desired clarity of the hologram in the surface of the can. This means that the cans preferably have a substantially mirror-like surface characterized by having a high distinctness of reflected image ("D/I" for brevity). When a can having a high D/I is positioned adjacent an article or an image or printing on paper or the like, the image or reflection on the can should be clear. D/I is the sharpness of the reflected image, and is preferably in a range of at least about 50 to 70% for cans to be embossed by the method of this invention. High specularity can be produced on the cans in a variety of ways such as burnishing or buffing the exterior surfaces of the cans or by chemically cleaning and etching the cans. However, D/I body makers produce can bodies having specularity levels, after being washed, which may be high enough for transfer of holograms to the can bodies without further brightening.

Referring to the drawings, Figure 1 outlines a preferred process for embossing holograms in metal cans and applying a decorative coating to the cans after they have been embossed. The first step of the process is to create a master hologram as is well known in the art. One common process is to apply a photosensitive coating (photoresist) to a substrate such as glass or metal and to etch the photoresist with two or more coherent beams of light (laser beams) to produce a surface relief pattern (hologram) with a depth corresponding to the intensity of the radiation at each point. The relief grooves that are etched into the photoresist preferably have a depth of about 500-1000 nanometers and may have an aspect ratio of depth to width in a range of about 1:1 to 3:1. The master hologram that is produced in the photoresist material is fragile and relatively soft.

The next step, which is also well known in the art, is to grow a metal shim or mother shim from the master hologram. This can be done by putting the master hologram in a bath of metal salts such as nickel salts and electrolytically growing or depositing nickel on the master hologram to generate a negative image of the hologram in a nickel shim. The thickness of the metal (nickel) shim varies depending on several factors such as the growing time used. The nickel shim is

10

15

20

25

30

PCT/US98/25899

peeled or stripped from the master hologram to expose the negative image in the surface of the mother shim.

The next step in the process is to create multiple shims from the mother shim. It is known in the art to produce 100 or more daughters of the mother shim. This is done by growing daughters of the mother shim (sister shims of each other) in a bath of metal salts in the same manner that the mother shim was grown on the master hologram as described above. In this way many sister shims are grown. The negative image on the mother shim becomes a positive image on each of the sister shims. It is important that the surfaces of the mother shim and the sister shims be as smooth as possible to avoid pressing any imperfections from such surfaces into the hologram images made from the shims. It is also important that the undersurface of the sister shims be smooth. Since the shims are relatively thin, small blemishes on the undersurface of the shims or on surfaces on which the shims are mounted can be transferred through the shims into the holograms produced by the shims.

Optionally, the hologram surface of the sister shims may be hardened. This can be done in a variety of ways such as ion implantation with a nitrogen plasma and/or by coating the hologram surface with a thin diamond-like carbon (DLC) coating or amorphous diamond coating (ADC) or diamond-like carbon films. The coatings or films may be formed on the surface of the shims by high temperature chemical vapor deposition (CVD) or enhanced cathodic-arc physical vapor deposition processes that create a high energy flux of carbon ions that are caused to be embedded in the surface of the shim. DLC coatings can also be applied by low temperature physical vapor deposition (PVD) such as cathodic arc deposition of the coating. The physical and mechanical properties of the shim substrate remains substantially unaffected by the coating process, but the coating on the surface has a hardness approaching that of natural diamond. The coating is tightly bonded to the shim substrate and is abrasion resistant. Due to the amorphous nature of the ADC, it requires no post-coating polishing. The coating is continuous and homogeneous and substantially exactly replicates the underlying surface so it does not noticeably degrade the topography of the hologram image. The Tetrabond® Division of Multi-Arc Inc. in Rockaway, New Jersey applies

10

15

20

25

30

- 7 -

TETRABOND® coatings or other similar coatings on a variety of products for various uses and also supplies equipment for applying such coatings.

The ADC or DLC coating or film is preferably less than about 3000 angstroms thick in order to minimize masking or dulling of the hologram in the surface. ADC coatings may have hardness in a range of about 80-100 Gpa (giga pascal) (8,000-10,000 Vickers), be optically smooth and have a high adherence to the shim substrate. DLC coatings may have hardness in a range of about 10-50 Gpa (1,000-5,000 Vickers). The Gpa hardness measure is used by Multi-Arc Inc., whereas kg/mm² (kilograms per square millimeter) is used below to measure hardness of shims, metal rolls and cans. Vickers and Rockwell hardness can also be used to measure surface hardness. One kg/mm² is the equivalent of one Newton/mm² in the meterkilogram-second system. Hardness measurements depend on the nature and size of the indenters, and there are no generally accepted conversion factors from one unit of measurement to another.

The sister shims preferably have a surface hardness of approximately 550-600 kg/mm², regardless of whether their surface has been hardened as described above. It is important to provide a sufficient surface hardness on the shims to effect a transfer of the hologram image into the surface of a print roll in the next step of the process. It is preferred that effective transfer of a hologram image from the shim to the print roll employ a hardness ratio of the two surfaces of at least about 2.5 to 1 and preferably at least about 2.7 to 1. This means that the surface from which the image is being transferred should be at least about 2.5 times, and preferably at least 4 to 5, harder than the surface into which the image is being impressed.

The next step in the method of this invention is to transfer the positive image from a sister shim into the surface of a print roll or rolls. This is illustrated in Figure 2. The support rolls are preferably polished aluminum cylinders made from an aluminum alloy such as 6061, 7075 or other 6000 and 7000 series alloys and have a surface hardness of about 110-125 kg/mm². Print rolls made of 2024 or 4045 aluminum alloys, or other lower yield strength aluminum alloys, may also be suitable for some applications. However, print rolls made of higher yield strength alloys, such as 7075 aluminum alloy, appear to be more robust

10

15

20

25

30

and capable of more cycles of operation than rolls made of lower yield strength alloys.

The print rolls are preferably either acid or alkaline cleaned and then polished, buffed or bright dipped before the hologram is pressed into their surface. A variety of known mechanical and/or chemical processes may be used to provide a smooth, bright finish on the print rolls. A bright finish on the print rolls is beneficial for transfer of a hologram image to the print roll and perhaps even more beneficial for transfer of the image to can bodies. Each print roll preferably has a diameter that is close or equal to the diameter of the cans which are to be embossed by the print rolls. A typical 12 oz. aluminum can has a diameter of approximately 2.6 inches, so the print rolls also preferably have diameters of about 2.6 inches. Such roll diameter is also convenient for mounting the rolls in commercial can decoration apparatus as will be described.

It is also believed that the yield strength of the print roll substrate under the surface coating affects the quality of image transfer onto the surface of cans. If the underlying substrate metal in the print roll has a low yield strength, the metal may not provide sufficient support for the hardened surface of the print roll under the pressures required to make the transfer. It is therefore believed that the high yield strength aluminum alloys such as 6000 and 7000 series alloys are beneficial to making a good image transfer. It is noted that in the use of such rolls to transfer images to thousands of cans, little or no spalling or flaking of the ADC coatings from the rolls has occurred or been observed.

Each print roll 10 may have a length approximately equal to the length or sidewall height of the thin wall portion of the cans to be embossed. Again a typical 12 oz. can has a thin wall height of about 3.0 inches, so the print rolls are also preferably about 3.0 inches in length.

Each sister shim 12 having a hologram image on it is also preferably sized to correspond to the size of the surface area of cans to be embossed and the print rolls. For example, in a preferred embodiment, about 8.168 inches of can sidewall circumference and 3 inches of can wall height is embossed. Thus, the shim 12 is preferably about 8.168 inches by about 3 inches. However, the diameter and length of the print rolls is not critical to this invention.

10

15

20

25

30

- 9 -

As shown in Figure 2, the shim 12 is pressed against the bright finished (mirror-like finish) print roll 10 by a support roll 14. The image 16 on the shim 12 faces the print roll so the hologram image will be pressed into the surface of the print roll. The support roll 14 has a smooth surface to avoid impressing blemishes from the roll through the shim and into the print roll. As stated above, the surface hardness of the print rolls is preferably approximately 110-125 kg/mm².

It is important that the axes 18 and 20 of the print roll 10 and support roll 14 are parallel and that the rolls are as round in cross section as reasonably possible to make a good transfer of the hologram image into the print roll. The linear surfaces of the rolls 10, 14 from end to end should also be straight or preferably slightly crowned to make a good transfer of the image into the print roll. The rolls are rigidly mounted to minimize deflection in the rolls during the image transfer. The rolls are pressed against the shim as it moves between them under a linear pressure of about 500-700 pounds per linear inch of the contact surface between each roll and the shim. The rolls 10, 14 are rotated as shown by the arrows, and the shim 12 moves through the nip between the rolls. Care must be used to insure that the shim is properly aligned as it passes through the nip between the rolls and to prevent slippage between the shim and print roll.

Following transfer of the holographic image to the print rolls, the surfaces of the rolls are hardened. This can be done by ion implantation or by coating the rolls with ADC or DLC as described above with reference to the sister shims. The ADC or DLC is also believed to be beneficial to reducing oxide or other scum build-up on the print rolls when they are used to emboss cans as described below. The surfaces of the print rolls preferably have a minimum hardness of at least 545 to 600 kg/mm² after they have been hardened. The surfaces of the print rolls should be at least 2.5 times, and preferably at least about 4 to 5 times, harder than the surface hardness of aluminum cans to be decorated. Aluminum cans have surface hardness of about 110-125 kg/mm² and a surface hardness on the print rolls of at least about 545-600 kg/mm² is required to make a good transfer of the image into the surface of the cans.

The next step in the method of this invention is to emboss the exterior surface of cans as shown in Figures 3 and 4. An aluminum can or cans 30

10

15

20

25

WO 99/31557 PCT/US98/25899

- 10 -

are positioned on a mandrel or mandrels 32 to support the cans against the force of a print roll 14. The print roll 14 is pressed against the surface of the can as the mandrel and the print roll are rotated as indicated by the arrows in Figure 3. As described above with respect to image transfer from a sister shim to a print roll, the axes of the mandrel and the print roll must be parallel and the linear surfaces from end-to-end of the mandrel and print roll must be as straight as reasonably possible or alternatively have a slight crown on the print roll. The pressure of the print roll against the can surface is preferably about 500 to 700 pounds per linear inch of contact at the can/print roll interface. The pressure must be sufficient to emboss the holographic image from the print roll into the surface of the can, but should not be so high as to significantly extrude metal in the can sidewall between the print roll 14 and the mandrel 32. Extrusion of metal in the sidewall causes thinning of the sidewall and may deform the can. Only a small amount of thinning of the sidewall is acceptable. The print rolls may be heated slightly up to about 75°-225° to improve transfer of the image into the surface of the cans.

Due to the high pressure required to transfer the holographic image from the print roll to the can and the fact that the mandrel 32 is necessarily a cantilever on which the cup-shaped can is positioned, some deflection of the mandrel is difficult to avoid. Deflection of the mandrel may result in non-uniform pressure of the print roll 14 against the can 30 from one end of the can to the other, and may produce unsatisfactory transfer of the image. Accordingly, supplemental means may be desirable to equalize the transfer pressure along the length of the can. Such supplemental means may comprise applying a greater pressure of the print roll against the can at the unsupported end of the mandrel, angling the axis of the mandrel toward the axis of the print roll so the mandrel is "toed-in" from the base of the mandrel to the unsupported end of the mandrel, or by providing a backup roll for the mandrel/can if this can be done without significantly degrading the quality of the image on the can.

Production apparatus that includes a transfer wheel 34 for transferring holograms from print rolls to drawn and ironed (D&I) can bodies may have a plurality of mandrels 32 for mounting D&I can bodies 30, as is shown in Figure 4. It is believed that apparatus currently used for applying decorative coatings to can

15

20

25

30

PCT/US98/25899

WO 99/31557

- 11 -

bodies is suitable for embossing holograms into the surface of cans. U.S. Patent 4,741,266 illustrates and describes such an apparatus for decorating can bodies. The disclosure contained in the patent is incorporated herein by reference. As shown in Figure 4, the mandrels 32 with D&I can bodies 30 on them are rotated around the transfer wheel 34 and rotated seriatim against the print roll 14 to transfer a hologram image from the print roll onto the surface of the can bodies.

It is noted that the combination of a holographic image and decorative coatings on a can produces an extremely attractive can. The decorative coating may be applied only to the surface of the can which doesn't include the hologram, or may also be applied over all or part of the hologram. The hologram and decorative coating interact to produce an appearance not previously possible in the manufacture of cans.

Examples

Figure 5 shows one example of aluminum can bodies 40 that have been decorated by the method of this invention having a hologram comprising the word "COLA" and a plurality of "galaxies" 42 embossed on its surface. The light which reflects off the hologram produces varying looks or images as the can is moved in the light. The hologram image has been transferred to the thin wall portion only of the can bodies to minimize problems with the differential in thickness between the thin wall and thick wall portions of D&I can sidewalls. However, it is believed that this invention may be used to transfer holographic images to both the thin wall and thick wall portions of the can sidewall.

In another application of this invention, two holographic images have been sequentially applied on the exterior surfaces of aluminum cans. One image was a plurality of stars and the other image was a galaxy image similar to that shown in Figure 5. Some cans were embossed first with the stars followed by the galaxy and others were embossed first with the galaxy image and then the stars.

Figure 6 is a flow diagram of an alternative mode for practicing the invention. In this mode the sister shims are mounted directly on a roller which is used to emboss the exterior surface of aluminum cans. In this way it is not necessary to first transfer the image from the sister shim to a print roll as in the method of Figure 1. The sister shims that are mounted on the roller are preferably

10

15

20

25

- 12 -

arcuate in cross-section and fit against the arcuate outer surface of the roller and secured thereto. In one example two such arcuate shims having a curved arc length of about 8 inches were mounted around a roll having a diameter of about 10.4 inches (32 inches in circumference).

The shims in the method of Figure 6 have negative holographic images on them and press a positive image in the exterior surface of cans. It is important to the method of Figure 6 that the shims have a surface hardness of at least about 545 to 600 kg/mm² in order to effectively press a hologram into the surface of aluminum cans having surface hardness of about 110 to 125 kg/mm². The surface of the shims may be optionally hardened with DLC or ADC as is described above with respect to the method of Figure 1.

Whereas particular embodiments of this invention have been described for purposes of illustration, it will be evident to those skilled in the art that numerous variations in details may be made without departing from the invention as covered by the appended claims. For example, the method of this invention can be used to emboss holograms on a variety of products such as polished metal sheet (preferably aluminum) or other articles of manufacture. It is also possible to modify this invention by embossing cans directly from the shims instead of first transferring the hologram to a print roll. In that alternative, a can is mounted on a mandrel and rotated as a shim having a hologram on it is pressed against the can by a supporting roll in a manner similar to that shown in Figure 2. The shim could also be arcuate in shape to conform to the arcuate surface of the support roll. Such an arcuate shaped shim may be attached to and be rotated with the support roll. Print rolls used in this invention can also vary in diameter and may be smaller, the same as, or larger in diameter than the can bodies being embossed. The ADC or DLC coatings on print rolls and/or shims may also vary in thickness down to about 1,000 angstroms although they are preferably about 2,000-3,000 angstroms thick. Other modifications to the invention falling within the scope of the appended claims will be apparent to those skilled in the art.

WO 99/31557 PCT/US98/25899

- 13 -

CLAIMS

1. A method for imposing a holographic image on an exterior surface of a hollow metal cylinder, comprising:

forming a positive holographic image into a surface of a shim; impressing said positive holographic image into the outer surface of a print cylinder so as to form a negative holographic image on the exterior surface of said print cylinder; and

embossing said negative image into said exterior surface of said hollow metal cylinder so as to form a positive holographic image thereon.

- A method as set forth in claim 1, wherein said print cylinder is substantially the same diameter as said hollow metal cylinder.
 - 3. A method as set forth in claim 1, wherein said forming comprises forming a positive holographic image on a substantially flat shim.
- 4. A method as set forth in claim 1, wherein said forming comprises forming a positive holographic image on the convex surface of a curved shim.
 - 5. A method as set forth in claim 1, wherein said forming comprises forming a positive holographic image on a surface of a shim by electroforming.
 - 6. A method as set forth in claim 1, wherein said forming comprises forming a positive holographic image on a surface of a shim by laser etching.
- 7. A method as set forth in claim 1, further comprising hardening said exterior surface of said print cylinder after said negative holographic image has been formed thereon.
 - 8. A method as set forth in claim 7, wherein said hardening comprises ion implantation onto said exterior surface.
- 25 9. A method as set forth in claim 7, wherein said hardening comprises application of an amorphous diamond coating or a diamond-like coating onto said exterior surface.
 - 10. A method as set forth in claim 1, wherein said shim comprises nickel.
 - 11. A method as set forth in claim 1, wherein the surface of said shim
- 30 is hardened before said holographic image is impressed into the outer surface of said print cylinder.
 - 12. A method as set forth in claim 11, further comprising fabricating said

5

- 14 -

PCT/US98/25899

shim by placing an amorphous diamond coating on thin gauge aluminum sheet.

- 13. A method as set forth in claim 1, wherein said print cylinder comprises a metal.
- 14. A method as set forth in claim 13, wherein said print cylinder is comprised of a metal selected from the group consisting of aluminum and steel.
 - 15. A method as set forth in claim 1, wherein said hollow metal cylinder is comprised of a metal selected from the group consisting of aluminum and steel and the cylinder has a substantially mirror-like outer surface.
- 16. A method as set forth in claim 1, wherein said shim is pressed against the exterior surface of said print cylinder by a rigid support roll.
 - 17. A method as set forth in claim 16, wherein while impressing said positive holographic image onto said outer surface of said print cylinder, said support roll and said print cylinder are maintained substantially parallel to each other.
- 15 18. A method as set forth in claim 1, in which said print cylinder is mounted on a rigid support.
 - 19. A method as set forth in claim 1, in which said hollow metal cylinder is mounted on a rigid support.
- A method as set forth in claim 19, wherein the longitudinal axis of
 said hollow metal cylinder is maintained parallel to the longitudinal axis of said
 print cylinder while said negative image is embossed into the exterior surface of
 said hollow metal cylinder.
 - 21. A method as set forth in claim 1, further comprising mounting said shim on a wheel having an outer surface.
- 25 22. A method as set forth in claim 21, wherein said shim is arcuate having an inner section and an outer section, said positive holographic image being formed on the outer section of said arcuate shim.
 - 23. A method as set forth in claim 22, wherein said inner section of said shim is secured on said outer surface of said wheel.
- 30 24. A method as set forth in claim 1, wherein the surface of said shim is at least 2.5 times harder than said outer surface of said print cylinder and wherein said outer surface of said print cylinder is at least 2.5 times harder than said exterior

20

25

PCT/US98/25899

- 15 -

surface of said hollow metal cylinder.

- 25. A method as set forth in claim 1, further comprising coating said outer surface of said print cylinder to substantially alleviate transfer of material from said hollow metal cylinder to said print cylinder.
- 5 26. A method as set forth in claim 1, wherein said impressing of said positive holographic image onto the outer surface of said print cylinder is performed at a pressure of between about 500 and 700 pounds per linear inch.
 - 27. A method as set forth in claim 26, wherein said impressing is performed at a temperature of between about 70°F and 95°F.
- 10 28. A method as set forth in claim 1, wherein said embossing of said negative image onto said external surface of said hollow metal cylinder is performed at a pressure of between about 500 and 700 pounds per linear inch.
 - 29. A method as set forth in claim 28, wherein said embossing is performed at a temperature of between about 70°F and 95°F.
- 15 30. A method of decorating a metal can body comprising the steps of:

providing a hard metal shim having a holographic or diffraction image thereon;

transferring said image from said shim to a metal roll having a surface hardness less than about 40% of the surface hardness of said shim;

hardening the surface of said roll; and

transferring said image from said roll to a substantially mirrorlike outer surface of a metal can body.

- 31. A method as set forth in claim 30, in which said metal can is made of a hard temper aluminum alloy.
- 32. A method as set forth in claim 31, in which said image is transferred from said roll to said can by mounting said can on a mandrel and rolling said metal roll against said can on said mandrel.
- A method as set forth in claim 30, in which said metal roll has a
 coating selected from a group consisting of diamond like coatings and amorphous diamond coatings applied thereto.
 - 34. A method as set forth in claim 30, in which the surface of said metal

WO 99/31557 PCT/US98/25899

- 16 -

roll is hardened to be at least 2.5 times harder than the surface of said metal can.

- 35. A method as set forth in claim 30, in which the surface of said metal roll is hardened to be at least 4 times harder than the surface of said metal can.
- 5 36. A method as set forth in claim 30, in which the surface of said shim is at least 4 times harder than the surface of said metal roll when said image is transferred from the shim to the metal roll.
 - 37. A method as set forth in claim 30, in which the surface of said metal shim is at least 4 times harder than the surface of said metal roll when said image is transferred from the shim to the metal roll, and the metal roll is thereafter hardened to be at least about 4 times harder than the surface of said metal can.
 - 38. A method as set forth in claim 30, in which said metal roll has a diameter in the range of about 2 to 4 inches.
- 39. A method as set forth in claim 31, in which said metal roll has a diameter of about 2.6 inches.
 - 40. A method as set forth in claim 30, in which said metal roll is made of an aluminum alloy having a hardness of about 100 to 125 kg/mm² when said image is transferred from said metal shim to said metal roll whereafter the surface of said metal roll is hardened to at least about 545 kg/mm².
- A method as set forth in claim 31, in which said metal can is an aluminum can having a surface hardness in a range of about 100-150 kg/mm².
 - 42. A method as set forth in claim 30, in which the surface of said metal roll is hardened by ion implantation.
- 43. A hard temper, drawn and ironed aluminum can having a hologram embossed in its exterior surface by the method of claim 30.
 - 44. A method as set forth in claim 30, in which two or more holographic images are sequentially transferred to an outer surface of a metal can.
 - 45. A method for decorating a hard temper aluminum alloy can body with a holographic image comprising:
- providing at least one metal shim having a holographic image on it and having a surface hardness of at least about 550 kg/mm²,

mounting said shim on a cylindrical roller around at least a portion of

5

10

PCT/US98/25899

- 17 -

the perimeter of the roller,

providing a cup-shaped can body made of aluminum alloy having a hardness in a range of about 110-125 kg/mm² and a substantially mirror-like exterior surface,

positioning said can body on a rigidly supported mandrel, and pressing said shim on said roller against the outer surface of said can body and rolling said roller with respect to longitudinal axis of said can body to transfer the image on said shim to the outer surface of said can body.

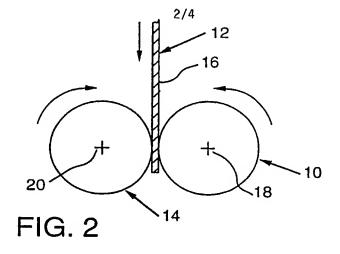
- 46. A method as set forth in claim 45, in which two of said shims are mounted end-to-end around the perimeter of said roller.
- 47. A method as set forth in claim 45, in which said shim is pressed against said can body under a pressure of about 500-700 pounds per linear inch of nip length between the shim and the can body.
- 48. A method as set forth in claim 45, in which said shim is arcuate in configuration and has a concave face mounted against said roller and a convex face bearing said holographic image.

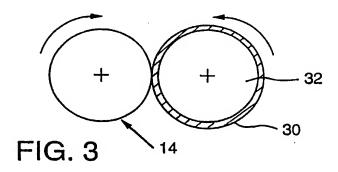
PCT/US98/25899

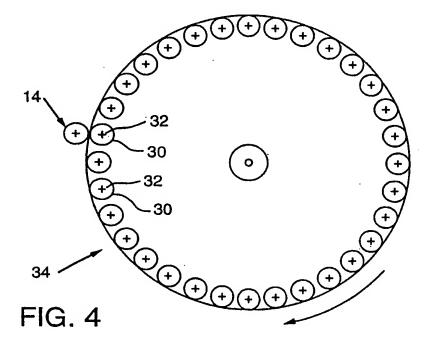
1/4 Create Master Hologram In Photoresist Material (Positive Image) **Grow Mother** Shim From Master (Negative Image) **Grow Multiple Sister Shims From Master** (Negative Image) Harden Surface Of Sister Shims (Optional) Impress Positive Image From Sister Shim Into Print Rolls (Negative Image) Harden Surface Of Print Rolls **Emboss Exterior Surface** Of cans With Print Rolls (Positive Images) **Decoration Coat** The Exterior Surface Of The Cans

FIG. 1

PCT/US98/25899







3/4

PCT/US98/25899

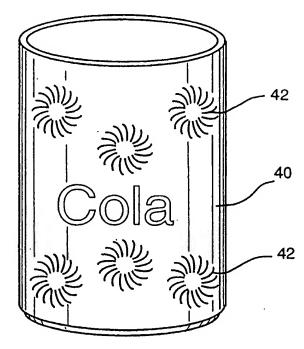


FIG. 5



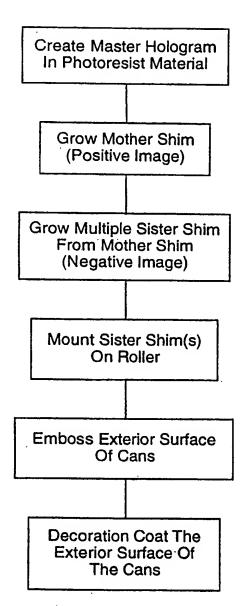


FIG. 6

INTERNATIONAL SEARCH REPORT

ernational Application No

		PC17US 9	8/25899	
A. CLASSII IPC 6	FICATION OF SUBJECT MATTER G03H1/02 B44C1/24 B44F1/	10 B44B5/00		
	o International Patent Classification (IPC) or to both national classi	fication and IPC		
	SEARCHED	Aller overholes		
IPC 6	ocumentation searched (classification system followed by classific G03H B42D B44C B41M B44F B44			
	tion searched other than minimum documentation to the extent tha			
Electronic d	ata base consulted during the international search (name of data	base and. where practical, search terms us	ed)	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.	
А	US 4 725 111 A (WEITZEN EDWARD 16 February 1988 see claims	H ET AL)	1	
A	WO 91 01225 A (EMBOSSING TECHNO K) 7 February 1991 see page 3, line 10 - page 5, 1	·	1	
A	US 4 906 315 A (MCGREW STEPHEN 6 March 1990 see column 1, line 41 - column	•	1	
А	US 5 327 825 A (ALLOCCO JOSEPH 12 July 1994 see figure 3 see column 3, line 15 - column		1	
		,		
		-/		
X Furt	her documents are listed in the continuation of box C.	X Patent family members are list	led in annex.	
* Special ca	ategories of cited documents :	"T" later document published after the or priority date and not in conflict w		
consid	ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date	cited to understand the principle or invention "X" document of particular relevance; the cannot be considered novel or car	ne claimed Invention	
"L" docume which citatio	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) ent referring to an oral disclosure, use. exhibition or	"Y" document of particular relevance; the cannot be considered to Involve an document of particular relevance; the cannot be considered to Involve and document is combined with one or	document is taken alone ne claimed invention n inventive step when the	
other "P" docum	means ent published prior to the international filing date but han the priority date claimed	ments, such combination being ob in the art. "&" document member of the same pat	vious to a person skilled	
Date of the	actual completion of the International search	Date of mailing of the international	search report	
2	22 April 1999	28/04/1999		
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk	Authorized officer		
	Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Herrmann, J		

2

INTERNATIONAL SEARCH REPORT

ernational Application No PCT/US 98/25899

		PC1/US 98/25899
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 059 499 A (TEITEL MICHAEL) 22 October 1991 cited in the application see column 2, line 4 - line 45	1
Α	US 3 944 420 A (GALE MICHAEL THOMAS ET AL) 16 March 1976 cited in the application see column 1, line 66 - column 2, line 16	1
A	US 3 875 026 A (WIDMER ROLAND WERNER) 1 April 1975 cited in the application see claims	1
Α	US 4 773 718 A (WEITZEN EDWARD H ET AL) 27 September 1988 cited in the application see column 1, line 65 - column 2, line 29	1

INTERNATIONAL SEARCH REPORT

Information on patent family members

ernational Application No PCT/US 98/25899

·				-, 23033	
Patent document cited in search report		Publication date		atent family nember(s)	Publication date
US 4725111	Α	16-02-1988	US	4773718 A	27-09-1988
WO 9101225	Α	07-02-1991	NONE		
US 4906315	A	06-03-1990	US	4758296 A	19-07-1988
US 5327825	. A	12-07-1994	WO	9426957 A	24-11-1994
US 5059499	A	22-10-1991	AU WO	3773189 A 8912261 A	05-01-1990 14-12-1989
US 3944420	A	16-03-1976	AU AU BE CA CH DE FR GB JP NL SE SE	496733 B 8124875 A 829259 A 1029991 A 613534 A 2522549 A 2272422 A 1501668 A 51001969 A 7505956 A 409512 B 7505782 A	26-10-1978 18-11-1976 15-09-1975 25-04-1978 28-09-1979 04-12-1975 19-12-1975 22-02-1978 09-01-1976 25-11-1975 20-08-1979 24-11-1975
US 3875026	A	01-04-1975	AU BE CA CH DE FR GB JP JP NL SE SE	8124975 A 829260 A 1045428 A 611436 A 2522548 A 2272421 A 1508660 A 955759 C 51000338 A 53039778 B 7505950 A 410235 B 7505781 A	18-11-1976 15-09-1975 02-01-1979 31-05-1979 04-12-1975 19-12-1975 26-04-1978 31-05-1979 06-01-1976 23-10-1978 25-11-1975 01-10-1979
US 4773718	Α	27-09-1988	US	4725111 A	16-02-1988